



07-27-01

Section

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Craig Gardner et al.

Serial No.: 09/832,608

Examiner: Unknown

Filed: April 11, 2001

Group Art Unit: 3737

For: OPTICALLY SIMILAR REFERENCE SAMPLES AND RELATED METHODS FOR  
MULTIVARIATE CALIBRATION MODELS USED IN OPTICAL SPECTROSCOPY

Docket No.: 1023.1117101

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL925260862US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 26th day of July, 2001.

By Kathleen L. Boekley  
Kathleen L. Boekley

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

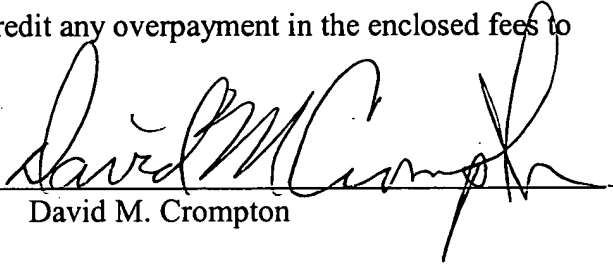
☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPEN- DENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

- [ XX ] A check in the amount of \$130.00 is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.

[ XX ] Other: RESPONSE TO NOTICE TO FILE MISSING PARTS, COPY OF NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION, EXECUTED DECLARATION/POWER OF ATTORNEY, LETTER TO OFFICIAL DRAFTSMAN, AND TWELVE SHEETS OF FORMAL DRAWINGS.

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
David M. Crompton

Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349

09832608-072601



**P A T E N T**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Craig Gardner et al.

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**RESPONSE TO NOTICE TO FILE MISSING PARTS**

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By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sir:

To complete the above-captioned application, please find enclosed a copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED, a Declaration signed by the named inventors and a check in the amount of \$130 (surcharge). Substitute drawings are filed concurrent with this Response to Notice to File Missing Parts. Permission is hereby granted to charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

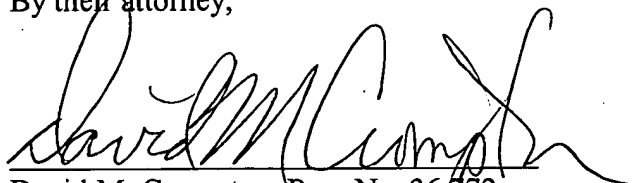
Any inquiry regarding the above-referenced matter may be directed to the undersigned representative.

Respectfully submitted,

Craig Gardner et al.

By their attorney,

Dated: 7/26/01



David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401  
Telephone: (612) 677-9050  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/832,608	04/11/2001	Craig Gardner	1023.1117101

CONFIRMATION NO. 1869

## FORMALITIES LETTER



\*OC000000006143037\*

CROMPTON, SEAGER & TUFTE, LLC  
Suite 895  
331 Second Avenue South  
Minneapolis, MN 55401-2246

Date Mailed: 06/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09832608-072601



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By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sir:

Please find attached hereto twelve (12) sheets of formal drawings for filing in the above-identified application.

Respectfully submitted,

Craig Gardner et al.

By their attorney,

David M. Crompton

David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401-2246  
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